| Ŋ. | umber: CRF Errors Corrected by the STIC Systems Branch CRF Processing Date: |
|----|---|
| N | Changed a file from non-ASCII to ASCII Verified by: Verified by: |
| | Y I I'M |
| | Changed the margins in cases where the sequence text was "wrapped" down to the next line. |
| | Edited a format error in the Current Application Data section, specifical NTERED |
| | Edited the Current Application Data section with the actual current number. The number inputted by th applicant was the prior application data; or other |
| | Added the mandatory heading and subheadings for "Current Application Data". |
| / | Edited the "Number of Sequences" field. The applicant spelled out a number instead of using an integer |
| | Changed the spelling of a mandatory field (the headings or subheadings), specifically: |
| | Corrected the SEQ ID NO when obviously incorrect. The sequence numbers that were edited were: |
| | Inserted or corrected a nucleic number at the end of a nucleic line. SEQ ID NO's edited: |
| | Corrected subheading placement. All responses must be on the same line as each subheading. If the applicant placed a response below the subheading, this was moved to its appropriate place. Inserted colons after headings/subheadings. Headings edited included: |
| | Deleted extra, invalid, headings used by an applicant, specifically: |
| | Deleted: ☐ non-ASCII "garbage" at the beginning/end of files; ☐ secretary initials/filename at end ☐ page numbers throughout text; ☐ other invalid text, such as |
| | Inserted mandatory headings, specifically: |
| | Corrected an obvious error in the response, specifically: |
| | Edited identifiers where upper case is used but lower case is required, or vice versa. |
| / | Corrected an error in the Number of Sequences field, specifically: |
| | A "Hard Page Break" code was inserted by the applicant. All occurrences had to be deleted. |
| | Deleted <i>ending</i> stop codon in amino acid sequences and adjusted the "(A)Length:" field accordingly (edue to a Patentin bug). Sequences corrected: |
| • | Other: |
| | |
| | , |

RAW SEQUENCE LISTING PATENT APPLICATION US/08/486,913

DATE: 03/08/96 TIME: 16:38:10

INPUT SET: S9193.raw

This Raw Listing contains the General Information Section and up to the first 5 pages.

| 1 | | | | SEQUENCE LISTING | ENTERED |
|----------|---------|------------|---------------------------------------|-------------------------------|-----------------|
| 2 3 | (1) Ge | noral | Information: | | ENIERED |
| 4 | (1) Ge | merar | . Informacion. | | |
| 5 | (i) | APPL | ICANT: | Andrew C. Hiat | t |
| 6 7 | | | | Floyd Rose | |
| 8 | | | | - | |
| 9 | (ii) | TITL | E OF INVENTION: | 3' PROTECTED N | UCLEOTIDES |
| 10 | | | | FOR ENZYME CAT. | |
| 11 | | | | | ENDENT CREATION |
| 12 13 | | | | OF PHOSPHODIES | TER |
| 14 | | | | בעאטם | |
| 15 | (iii) | NUMB | ER OF SEQUENCES: | 8 | |
| 16 | (, | | | • | |
| 17 | (iv) | CORR | ESPONDENCE ADDRESS | S: | |
| 18 | | | | | |
| 19 | | (A) | ADDRESSEE: | Lyon & Lyon | |
| 20 | | (B) | STREET: | 633 West Fifth | Street |
| 21 | | (0) | CITTURE. | Suite 4700 | |
| 22 23 | | (C) (D) | CITY: STATE: | Los Angeles California | |
| 24 | | (E) | COUNTRY: | U.S.A. | |
| 25 | | (F) | ZIP: | 90071 | |
| 26 | | (- / | | | |
| 27 | (V) | COMP | UTER READABLE FORM | M: | |
| 28 | | | | | |
| 29 | | (A) | MEDIUM TYPE: | 3.5" Diskette, | 1.44 Mb |
| 30 | | (D) | acvoumen. | storage | |
| 31 32 | | (B) | COMPUTER: | IBM Compatible IBM P.C. DOS 5 | 0 |
| 33 | | (C) (D) | OPERATING SYSTEM SOFTWARE: | Word Perfect 5 | • • |
| 34 | | (5) | DOLIWARD. | Word Terrece 5 | • • |
| 35 | (vi) | CURR | ENT APPLICATION DA | ATA: | |
| 36 | , , | | | | |
| 37 | | (A) | APPLICATION NUMBE | ER: | |
| 38 | | • • | FILING DATE: | | |
| 39 | | (C) | CLASSIFICATION: | | |
| 40 | / d d \ | BDTO | D ADDITALMIAN DAMA | | |
| 41 42 | (vii) | | R APPLICATION DATA r applications tot | | |
| 42 | | | uding application | car, | |
| 44 | | | ribed below: | | |
| 45 | | | | | |
| 46 | (viii) | ATTO | RNEY/AGENT INFORMA | ATION: | |
| | | | | | |

99

RAW SEQUENCE LISTING PATENT APPLICATION US/08/486,913

DATE: 03/08/96 TIME: 16:38:12

INPUT SET: S9193.raw (A) NAME: Guise, Jeffrey W. 47 (B) REGISTRATION NUMBER: 48 34,613 (C) REFERENCE/DOCKET NUMBER: 49 207/145 50 (ix) TELECOMMUNICATION INFORMATION: 51 52 TELEPHONE: 53 (A) (619) 552-8400 54 (B) TELEFAX: (619) 552-0159 55 (C) TELEX: 67-3510 56 57 (2) INFORMATION FOR SEQ ID NO: 58 59 (i) SEQUENCE CHARACTERISTICS: 60 61 12 (A) LENGTH: 62 (B) TYPE: nucleic acid 63 (C) STRANDEDNESS: single (D) TOPOLOGY: linear 64 65 66 (ix) FEATURE: 67 68 (D) OTHER INFORMATION: base number 12 is m7g 69 70 71 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1: 72 73 CCCCCCCCC CG 12 74 75 76 77 (2) INFORMATION FOR SEQ ID NO: 78 (i) SEQUENCE CHARACTERISTICS: 79 80 81 (A) LENGTH: 82 (B) TYPE: nucleic acid 83 (C) STRANDEDNESS: single (D) TOPOLOGY: 84 linear 85 86 (ii) SEQUENCE DESCRIPTION: SEQ ID NO: 2: 87 CCCCCCCC CCCCTGCA 19 88 89 90 91 (2) INFORMATION FOR SEQ ID NO: 92 93 94 (i) SEQUENCE CHARACTERISTICS: 95 96 (A) LENGTH: 20 97 (B) TYPE: nucleic acid 98 (C) STRANDEDNESS: single

linear

(D) TOPOLOGY:

RAW SEQUENCE LISTING PATENT APPLICATION US/08/486,913

DATE: 03/08/96 TIME: 16:38:14

INPUT SET: S9193.raw

| | | 2142 |
|------------|--|------|
| 100 | (ii) SPOURNSE DESCRIPTION, SPO ID NO. 2. | |
| 101 102 | (ii) SEQUENCE DESCRIPTION: SEQ ID NO: 3: | |
| 103 | CTGCAGGGG GGGGGGGGG | 20 |
| 104 | | |
| 105 | | |
| 106 | (2) INFORMATION FOR SEQ ID NO: 4: | |
| 107 | (i) GEOLENGE GUADAGMEDIGMIGG. | |
| 108 109 | (i) SEQUENCE CHARACTERISTICS: | |
| 110 | (A) LENGTH: 9 | |
| 111 | (B) TYPE: nucleic acid | |
| 112 | (C) STRANDEDNESS: single | |
| 113 | (D) TOPOLOGY: linear | |
| 114 | | |
| 115 | (ii) SEQUENCE DESCRIPTION: SEQ ID NO: 4: | |
| 116 | | _ |
| 117 | ccccccc | 9 |
| 118 119 | | |
| 120 | (2) INFORMATION FOR SEQ ID NO: 5: | |
| 121 | (2) INIONMATION FOR SEQ ID NO. 3. | |
| 122 | (i) SEQUENCE CHARACTERISTICS: | |
| 123 | • • • | |
| 124 | (A) LENGTH: 9 | |
| 125 | (B) TYPE: nucleic acid | |
| 126 | (C) STRANDEDNESS: single | |
| 127 | (D) TOPOLOGY: linear | |
| 128 129 | (ii) SEQUENCE DESCRIPTION: SEQ ID NO: 5: | |
| 130 | (II) SEQUENCE DESCRIPTION: SEQ ID NO: 5: | |
| 131 | GGGGGGGG | 9 |
| 132 | | _ |
| 133 | | |
| 134 | (2) INFORMATION FOR SEQ ID NO: 6: | |
| 135 | | |
| 136 | (i) SEQUENCE CHARACTERISTICS: | |
| 137 138 | / A \ T FINAMII. | |
| 139 | (A) LENGTH: 5 (B) TYPE: nucleic acid | |
| 140 | (C) STRANDEDNESS: single | |
| 141 | (D) TOPOLOGY: linear | |
| 142 | (-, | |
| 143 | (ii) SEQUENCE DESCRIPTION: SEQ ID NO: 6: | |
| 144 | | |
| 145 | CTGCA | 5 |
| 146 | | |
| 147 | (2) INFORMATION FOR SEQ ID NO: 7: | |
| 148 149 | (i) SEQUENCE CHARACTERISTICS: | |
| 150 | (I) SEQUENCE CHARACTERISTICS: | |
| 151 | (A) LENGTH: 9 | |
| 152 | (B) TYPE: nucleic acid | |
| _ | , , , , , , | |

| \mathbf{p}_{Δ} | GF: | 4 |
|-----------------------|------|---|
| $r_{\mathcal{M}}$ | CIL. | • |

177

RAW SEQUENCE LISTING PATENT APPLICATION US/08/486,913

DATE: 03/08/96 TIME: 16:38:17

INPUT SET: S9193.raw

| | | IMI OI BEIL BALASIAN |
|-----|--|----------------------|
| 153 | (C) STRANDEDNESS: single | |
| 154 | (D) TOPOLOGY: linear | |
| 155 | | |
| 156 | (ii) SEQUENCE DESCRIPTION: SEQ ID NO: 7: | |
| 157 | | |
| 158 | CTGCATGCA | 9 |
| 159 | | |
| 160 | | |
| 161 | (2) INFORMATION FOR SEQ ID NO: 8: | |
| 162 | | |
| 163 | (i) SEQUENCE CHARACTERISTICS: | |
| 164 | | |
| 165 | (A) LENGTH: 10 | |
| 166 | (B) TYPE: nucleic acid | |
| 167 | (C) STRANDEDNESS: single | |
| 168 | (D) TOPOLOGY: linear | |
| 169 | | |
| 170 | (ii) SEQUENCE DESCRIPTION: SEQ ID NO: 8: | |
| 171 | | |
| 172 | CTGCATGCAG | 10 |
| 173 | | |
| 174 | | |
| 175 | | |
| 176 | | |
| | | |

RAW SEQUENCE LISTING PATENT APPLICATION US/08/486,913

DATE: 03/08/96 TIME: 16:38:18

INPUT SET: S9193.raw

***** PREVIOUSLY ERRORED SEQUENCES - EDITED *****

| 161 | (2) INFORMATION FOR SEQ ID NO: 8: | | |
|-----|-----------------------------------|-----------|----|
| 162 | • | | |
| 163 | (i) SEQUENCE CHARACTERISTICS: | | |
| 164 | | | |
| 165 | (A) LENGTH: 10 | | |
| 166 | (B) TYPE: nuclei | ic acid | |
| 167 | (C) STRANDEDNESS: single | е | |
| 168 | (D) TOPOLOGY: linear | r | |
| 169 | | | |
| 170 | (ii) SEQUENCE DESCRIPTION: SEQ 1 | ID NO: 8: | |
| 171 | | | |
| 172 | CTGCATGCAG | | 10 |
| 173 | | | |
| 174 | | | |
| 175 | | | |
| 176 | | | |
| 177 | | | |
| | | | |

SEQUENCE VERIFICATION REPORT PATENT APPLICATION US/08/486,913

DATE: 03/08/96 TIME: 16:38:19

INPUT SET: S9193.raw

Line

Error

Original Text

RAW SEQUENCE LISTING PATENT APPLICATION US/08/486,913

DATE: 03/08/96 TIME: 09:50:50

INPUT SET: S9193.raw

This Raw Listing contains the General Information Section and those Sequences containing ERRORS.

| | 1 | | | ; | SEQUENCE LISTING | |
|---|----------|--------|--------------|----------------------|-------------------|---------------------------|
| | 2 | | | | | |
| | 3 | (1) Ge | neral | Information: | | |
| | 4 | | | | | |
| | 5 6 | (i) | APPL | ICANT: | Andrew C. Hiatt | Does Not Comply |
| | 7 | | | | Floyd Rose | Corrected Diskette Needed |
| | 8 | | | | rioyu kose | |
| | 9 | (ii) | TTT. | E OF INVENTION: | 3' PROTECTED NUCI | LEOTIDES |
| | 10 | (++) | 1111 | E OF INVENTION. | FOR ENZYME CATALY | |
| | 11 | | | | TEMPLATE-INDEPEN | |
| | 12 | | | | OF PHOSPHODIESTER | |
| | 13 | | | | BONDS | |
| | 14 | | | | | |
| > | 15 | (iii) | NUMB | ER OF SEQUENCES: | 8 | |
| | 16 | | | - | | |
| | 17 | (iv) | CORR | ESPONDENCE ADDRESS: | | |
| | 18 | | | | | • |
| | 19 | | (A) | ADDRESSEE: | Lyon & Lyon | |
| | 20 | | (B) | STREET: | 633 West Fifth St | treet |
| | 21 | | | | Suite 4700 | |
| | 22 | | (C) | CITY: | Los Angeles | |
| | 23 | | (D) | STATE: | California | |
| | 24 | | (E) | COUNTRY: | U.S.A. | |
| | 25 | | (F) | ZIP: | 90071 | |
| | 26 | () | GOVE | uman nashara achu. | | |
| | 27 | (V) | COMP | UTER READABLE FORM: | | |
| | 28 29 | | / 3 \ | MEDIUM TYPE: | 3.5" Diskette, 1. | 4.4. Mb |
| | 30 | | (A) | MEDIUM TYPE: | storage | .44 MD |
| | 31 | | (B) | COMPUTER: | IBM Compatible | |
| | 32 | | (C) | OPERATING SYSTEM: | - | |
| | 33 | | (D) | SOFTWARE: | Word Perfect 5.1 | |
| | 34 | | (2) | DOL LWILLI. | Word Policot 3.1 | |
| | 35 | (vi) | CURR | ENT APPLICATION DATA | A: | |
| | 36 | (/ | | | | |
| | 37 | | (A) | APPLICATION NUMBER | : | |
| | 38 | | (·B) | FILING DATE: | | |
| | 39 | | (C) | CLASSIFICATION: | | |
| | 40 | | | | | |
| | 41 | (Vii) | | R APPLICATION DATA: | | |
| | 42 | | | r applications total | 1, | |
| | 43 | | | uding application | | |
| | 44 | | desc | ribed below: | | |
| | 45 | | | | | |

RAW SEQUENCE LISTING PATENT APPLICATION US/08/486,913

DATE: 03/08/96 TIME: 09:50:53

INPUT SET: S9193.raw

```
(viii)
               ATTORNEY/AGENT INFORMATION:
46
47
                    NAME:
                                                Guise, Jeffrey W.
               (A)
48
               (B)
                    REGISTRATION NUMBER:
                                                34,613
                    REFERENCE/DOCKET NUMBER:
                                                207/145
49
               (C)
                                                                 ti kormod
50
51
         (ix)
               TELECOMMUNICATION INFORMATION:
52
                                                (619) 552-8400
53
               (A)
                    TELEPHONE:
54
               (B)
                    TELEFAX:
                                                (619) 552-0159
               (C)
                    TELEX:
                                                67-3510(2)
                                                             INFORMATION FOR SEQ ID NO:
55
56
                    SEQUENCE CHARACTERISTICS:
57
               (i)
58
59
                    (A)
                          LENGTH:
                                            nucleic acid
                          TYPE:
                    (B)
60
                    (C)
                          STRANDEDNESS:
                                            single
61
                           TOPOLOGY:
                                            linear
62
                    (D)
63
64
              (ix)
                    Features:
65
                    (D)
                          Other Information: base number 12 is m7g
66
67
68
                    SEQUENCE DESCRIPTION: SEQ ID NO: 1:
69
              (xi)
70
71
    cccccccc cg
                                                               12
72
73
74
```

ERRORED SEQUENCES FOLLOW:

| | | | / / |
|---|-----|--|-----|
| | 159 | (2) INFORMATION FOR SEQ ID NO: 8: | |
| | 160 | | X |
| | 161 | (i) SEQUENCE CHARACTERISTICS: | () |
| | 162 | | _ |
| | 163 | (A) LENGTH: 10 | |
| | 164 | (B) TYPE: nucleic acid / | |
| | 165 | (C) STRANDEDNESS: single | |
| | 166 | (D) TOPOLOGY: linear | |
| | 167 | | |
| > | 168 | (ii) SEQUENCE DESCRIPTION: SEQ ID NO: (10) | |
| | 169 | | |
| | 170 | CTGCATGCAG 10 | |
| | 171 | | |
| | 172 | | |
| | 173 | | |
| | 174 | | |
| | 175 | | |
| | | | |

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SEQUENCE VERIFICATION REPORT PATENT APPLICATION *US/08/486,913*

DATE: 03/08/96 TIME: 09:50:57

INPUT SET: S9193.raw

| Line | Error | Original Text |
|--|--|---|
| 15 57 59 60 61 62 64 66 | Number of Sequences (8) Doesn't Equal Actual Count (7) Unknown or Misplaced Identifier | (iii) NUMBER OF SEQUENCES: 8 (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 12 (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear (ix) Features: (D) Other Information: base number 12 is m7g |
| 69 168 | Unknown or Misplaced Identifier Wrong Sequence Number | (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1: (ii) SEQUENCE DESCRIPTION: SEQ ID NO: 10: |